



COPY
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of)
)
BROUAUX, ALEXANDRE MARC) FOR: DYNAMIC GRAPHIC USER
JACQUES) INTERFACE
)
) Group
Serial No.: 09/633,296) Art Unit: 2674
)
Filed: SEPTEMBER 15, 2000

**LETTER IN RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

Commissioner for Patents
Washington, D.C. 20231
Box Missing Part

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DEC 17 2002

OFFICE OF PETITIONS

Dear Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted,
which was mailed by the Office on November 13, 2000, enclosed are the following:

COPY

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail in an envelope addressed
to: Commissioner for Patents , Washington, D.C. 20231 on:

January 10, 2001

(Mailing Date)

Kathleen L. Connell

(Registered Representative)

(Signature)

January 10, 2001

(Date of Signature)

[MPABBROU01.A10]



- 2 -

Ser. No. 09/633,296

1. A check in the amount of \$65 small entity for the surcharge fee;
2. Office's copy of Form PTO-1533 as required;
3. Executed Declaration/Power of Attorney; and
4. Return postcard.

COPY

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4070. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Dated:

Jan 10, 2001

By:

Kathleen L. Connell

KATHLEEN L. CONNELL
Attorney for Applicant
Registration No. 45,344

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OFFICE OF PETITIONS

BROWN MARTIN HALLER & McCLAIN LLP
1660 Union Street
San Diego, California 92101

Telephone: (619) 238-0999
Facsimile: (619) 238-0062

Docket No.: 8058-PA01

COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/663,296	09/15/2000	Alexander Marc Jacques Brouaux	8058-PA01

Edward C Schewe
 Brown Martin Haller & McClain LLP
 1660 Union Street
 San Diego, CA 92101

FORMALITIES LETTER

OC000000005549194

Date Mailed: 11/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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UNITED STATES PATENT AND TRADEMARK OFFICE

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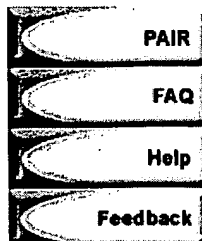
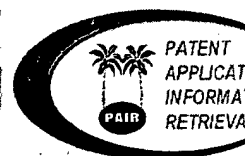
Index

Search

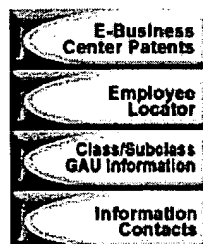
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PATENT APPLICATION INFORMATION RETRIEVAL



Other Links



Search results for application number: 09/633,296

Application Filing Date:	08-03-2000	Class / Sub-Class:	477/096.000
Issue Date of Patent:	01-15-2002	Location:	PUBS - CERTIFICATES OF CORRECTION BRANCH, PK3-922
Examiner Name:	WRIGHT, DIRK	Status:	Patented Case
Group Art Unit:	3681	Attorney Docket Number:	192348US2RE
Earliest Publication No:	-	Patent Number:	RE37,513
Earliest Publication Date:	-	Customer Number:	-
Confirmation Number:	2375		

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Continuity Data

Web Patent Database

Retrieve Maint. Fees to Pay

View Maint. Payment Windows

Payment
Window:

View Maint.

4

Maintenance Fees Available: Mon-Fri 5:30 AM to Midnight, Sat-Sun-Hol. 7:30 AM to 8:00 PM

File Contents History

Number	Date	Contents Description
29	08-15-2002	Post Issue Communication - Certificate of Correction
28	01-15-2002	Recordation of Patent Grant Mailed
27	09-07-2001	Workflow - File Sent to Contractor
26	12-28-2001	Weekly Patent Issue Receipt
24	12-03-2001	Receipt into Pubs
23	11-29-2001	Application Is Considered Ready for Issue
22	07-30-2001	Mailroom Date of Issue Fee Payment
21	08-03-2000	Workflow - Drawings Finished
20	08-03-2000	Workflow - Drawings Matched with File at Contractor
19	11-19-2001	Receipt into Pubs
18	07-31-2001	Issue Fee Payment Recorded
17	07-31-2001	Receipt into Pubs
16	05-15-2001	Mail Notice of Allowance
15	05-15-2001	Notice of Allowance Data Verification Completed
14	05-04-2001	Notice of Availability
13	04-20-2001	Submission of Original Patent Grant
12	04-20-2001	Request for Extension of Time - Granted
11	02-09-2001	Mail Ex Parte Quayle Action (PTOL - 326)

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10	02-08-2001	Ex Parte Quayle Action
9	01-12-2001	Miscellaneous Incoming Letter
8	08-03-2000	Information Disclosure Statement (IDS) Filed
7	11-03-2000	Information Disclosure Statement (IDS) Filed
6	12-18-2000	Case Docketed to Examiner in GAU
5	08-29-2000	Application Dispatched from OIPE
4	08-28-2000	Application Scanned
3	09-19-2000	Notice of Reissue Published in Official Gazette
2	08-23-2000	Correspondence Address Change
1	08-03-2000	Initial Exam Team nn

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Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/663,296	09/15/2000	Alexander Marc Jacques Brouaux	21685-06149

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

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NOV 22 2002

FENWICK & WEST LLP

CONFIRMATION NO. 2686

ABANDONMENT/TERMINATION
LETTER



OC00000009116693

Date Mailed: 11/18/2002

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 11/13/2000.

- No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

A copy of this notice MUST be returned with the reply.

C. M. Raymond

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

COPY

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION <input type="checkbox"/> Declaration Submitted with Initial Filing <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing	Attorney Docket	8058-PA01
	First Named Inventor	Brouaux, Alexandre Marc Jacques
	COMPLETE IF KNOWN	
	Application Number	09/633,296 <i>incorrect</i>
	Filing Date	September 15, 2000
	Group Art Unit	2674
	Examiner Name	unknown

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Dynamic Graphic User Interface

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

09/15/2000

as United States Application Number or PCT International

Application Number

09/633,296

and was amended on (MM/DD/YYYY)

(if applicable.)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Numbers	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)
60/153,993

Filing Date (MM/DD/YYYY)
09/15/1999

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

DECLARATION - Utility or Design Patent Application

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Patent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/025 attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Registered practitioner(s) name/registration number listed below:

Name	Registration Number	Name	Registration Number
NEIL F. MARTIN JOHN L. HALLER JAMES W. MCCLAIN	23,088 27,795 24,536	Kathleen L. Connell	45,344

Direct all correspondence to:

Attorney Name	Kathleen L. Connell				
Address	BROWN MARTIN HALLER & MCCLAIN LLP				
Address	1660 UNION STREET				
City	SAN DIEGO	State	CALIFORNIA	ZIP	92101
Country	USA	Telephone	(619) 238-0999	Fax	(619) 238-0062

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Last Name

Alexandre Marc Jacques

Brouaux

Inventor's Signature

Date

2 Jan 2001

Residence: City

Montigny le Bretonneux

State

Country

France

Citizenship

French

Post Office Address

Post Office Address

2 Rue des Arvernes, 78180 Montigny Le Bretonneux, France

City

State

Zip

Country

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Last Name

Inventor's Signature

Date

Residence: City

State

Country

Citizenship

Post Office Address

Post Office Address

City

State

Zip

Country

Additional Inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DA 43
+

0001/PTO Rev. 10/95 TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application)	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/663,296	
		Filing Date	September 15, 2000	
		First Named Inventor	Alexandre Marc Jacques Brouaux	
		Group Art Unit Number	2674	
		Examiner Name	Unassigned	
Total Number of Pages in This Submission		24	Attorney Docket Number	21685-06149

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check No. 2532 in the Amount of \$130.00 Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input checked="" type="checkbox"/> Petition Under 37 CFR 1.181 to Withdraw Holding of Abandonment
<input type="checkbox"/> Request for Corrected Filing Receipt	<input checked="" type="checkbox"/> Exhibit 1, Copy of Response to Notice to File Missing Parts of Application
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input checked="" type="checkbox"/> Exhibit 2, Copy of Postcard for Response to Notice to File Missing Parts of Application
<input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final	<input checked="" type="checkbox"/> Exhibit 3, Copy of Patent Application Information Retrieval Print-Out for U.S. Patent Application No. 09/633,296
<input type="checkbox"/> Status Request	<input checked="" type="checkbox"/> Exhibit 4, Copy of Notice of Abandonment Under 37 CFR 1.53(f) or (g)
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT		
Signature:		
Attorney/Reg. No.:	Rimma Budnitskaya, Registration No. 48,237	Dated: 12/10/02

CERTIFICATE OF MAILING		
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX DAC, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.		
Signature:		
Typed or Printed Name:	Rimma Budnitskaya	Dated: 12/10/02
Express Mail Mailing Number (optional):		

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OFFICE OF PETITIONS

0002/PTO(modified)
Rev. 10/2001

U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL**TOTAL AMOUNT OF PAYMENT**

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$)130.00**

C mplete if Kn wn

Application Number	09/663,296
--------------------	------------

Filing Date	September 15, 2000
-------------	--------------------

First Named Inventor	Alexandre Marc Jacques Brouaux
----------------------	--------------------------------

Group Art Unit	2674
----------------	------

Examiner Name	Unassigned
---------------	------------

Attorney Docket Number	21685-06149
------------------------	-------------

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~~DEC 17 2002~~

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. [†]
- ☐ Applicant claims small entity status
See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ **Payment Enclosed:**

- ☒
- Check
- ☐
- Credit Card
- ☐
- Other

FEE CALCULATION (fees effective 10/01/2001)

1. FILING FEE			
<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee</u>	<u>Fee</u>
Code/Fee	Code/Fee	Description	Due
1001/\$740	2001/\$370	Utility Filing	<input type="text"/>
1002/\$330	2002/\$165	Design Filing	<input type="text"/>
1004/\$740	2004/\$370	Reissue	<input type="text"/>
1005/\$160	2005/\$80	Provisional Filing	<input type="text"/>
SUBTOTAL (1)			(\$) 0

2. CLAIMS

<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee	Fee Description
1202/ \$18	2202/ \$9	Claims in excess of 20
1201/ \$84	2201/ \$42	Independent claims in excess of 3
1203/ \$280	2202/ \$140	Multiple dependent claim
1204/ \$84	2204/ \$42	Reissue independent claims over original patent
1205/ \$18	2205/ \$9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>		
Fee Code/Fee	Fee Code/Fee	Fee Description	Fee Due
1051/ \$130	2051/ \$65	Surcharge - late filing fee or oath	<input type="text"/>
1052/ \$50	2052/ \$25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>
1812/ \$2,520	1812/ \$2,520	For filing a request for reexamination	<input type="text"/>
1251/ \$110	2251/ \$55	Extension for response within first month [†]	<input type="text"/>
1252/ \$400	2252/ \$200	Extension for response within second month [†]	<input type="text"/>
1253/ \$920	2253/ \$460	Extension for response within third month [†]	<input type="text"/>
1254/ \$1,440	2254/ \$720	Extension for response within fourth month [†]	<input type="text"/>
1255/ \$1,960	2255/ \$980	Extension for response within fifth month [†]	<input type="text"/>
1401/ \$320	2401/ \$160	Notice of Appeal	<input type="text"/>
1453/ \$1,280	2453/ \$640	Petition to revive unintentionally abandoned Application	<input type="text"/>
1501/ \$1,280	2501/ \$640	Utility Issue Fee (Or Reissue)	<input type="text"/>
1502/ \$460	2502/ \$230	Design Issue Fee	<input type="text"/>
1460/ \$130	1460/ \$130	Petitions to the Commissioner	130
1806/ \$180	1806/ \$180	Submission of Information Disclosure Statement	<input type="text"/>
1801/ \$740	2801/ \$370	Request for Continued Examination (RCE)	<input type="text"/>
8021/ \$40	8021/ \$40	Recording each patent assignment per property (times number of properties)	<input type="text"/>
1809/ \$740	2809/ \$370	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
1810/ \$740	2810/ \$370	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>
	Other fee (specify):		<input type="text"/>
	Other fee (specify):		<input type="text"/>
SUBTOTAL (3)			(\$130)

(Col. 1)			(Col. 2)		(Col. 3)			
For	No. of Existing Claims		Highest No. Previously Paid For		Extra**		Fee	Fee Due
TOTAL		minus*	20 or 0	=		x		
INDEP		minus*	3 or 0	=		x		
<input type="checkbox"/> First presentation of multiple dependent claim								

* Subtract the greater number of Col. 2

**** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3**

SUBTOTAL (2) (\$)**0**

SUBMITTED BY

Typed or Printed Name	Rimma Budnitskaya
-----------------------	-------------------

Signature

Bowl

Complete (if applicable)

Reg. Number	48,237
-------------	--------

Date _____

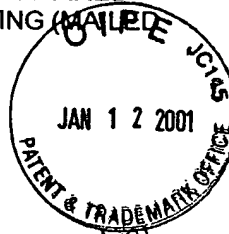
12/10/02

[†] Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

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THE STAMP OF THE U.S. PATENT AND TRADEMARK OFFICE AFFIXED
HERETO WILL BE EVIDENCE OF RECEIPT OF THE FOLLOWING (MAILED
01/10/01 BY FIRST CLASS MAIL):

CLIENT NO.: 8058-PA01 [KLC:KLC]
APPLICANT: BROUAUX, ALEXANDRE MARC JACQUES
SERIAL NO.: 09/633,296
FILING DATE: SEPTEMBER 15, 2000
FOR: DYNAMIC GRAPHIC USER INTERFACE



ENCLOSURES(S): Transmittal Form (1 pg); Fee Transmittal (1 pg); Letter in
Response to Notice to File Missing Parts (2 pgs); Form 153
(1 pg); Declaration (2 pgs); Check in the Amount of \$65; and
Return Postcard

PLEASE STAMP HERE (THANK YOU):

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